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# Prediction of Mortality and Clinical Decisions Using Frailty Scores in Hospitalized Elderly with Covid-19

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#### Abstract

Aim: Many decisions in clinical care in elderly patients admitted to the hospital with COVID-19 are based upon mortality risk. Screening for frailty is recommended, however its predictive value in hospitalized older adults with COVID-19 infection is unknown.

Objective is to compare the prognostic power of frailty scores with other prognostic parameters including age, laboratory biomarkers, comorbidities and imaging findings. In addition, to determine usefulness of clinical frailty scores in clinical decision-making including instalment of Do-Not-Intubate (DNI) order and discharge to institutionalized care.

**Methods:** A single-centre retrospective observational study in hospitalized patients > 65 years with COVID-19. Clinical Frailty Scale (CFS) and/or Groningen Frailty Indicator (GFI), Charlson Comorbidity Index (CCI), inflammation, CT severity scores (Do-Not-Intubate) DNI order, in hospital mortality and discharge destination were determined using chart data as well as data from the ZuydErLand COVID-19 regiStry (ELVIS).

**Results:** 157 patients were included, mean age 77 ± 7 years. 30% was considered frail. The frailty scores failed to predict mortality. However, the frailty scores appeared to be associated with clinical decision-making including instalment of DNI orders and discharge to institutionalized care.

**Conclusions:** Clinical frailty scores in our study showed promise as a tool for decision making in COVID-19, but probably not for prediction of mortality.

#### **Keywords**

Clinical Frailty Scale, COVID-19, Frailty, Groningen Frailty Indicator, Mortality

#### Introduction

COVID-19 is the disease caused by Severe Acute Respiratory Syndrome CoronaVirus 2 (SARS-CoV-2) [1]. COVID-19 is more lethal in older patients. Multiple recent studies stated that age is a highly predictive measure of severe COVID-19 disease [1-5].

Frailty is known to be a predictor of multiple adverse outcomes such as mortality, institutionalisation and functional decline [6]. Frailty is characterized by widespread physiological declines across many systems that can leave a person more susceptible to health stressors, such as COVID-19 infection [7]. Screening for frailty in hospitalized older adults because of COVID-19 infection with a risk of respiratory failure seems therefore mandatory. Frailty could be a more important predictor of outcome than age [8]. Therefore the National Institute for Health and Care Excellence (NICE) in the Unit-

ed Kingdom included the Clinical Frailty Scale (CFS) in their COVID-19 rapid guideline to determine the frailty of patients who are 65 years or older [9].

The CFS is generally accepted as a valid tool to determine and classify frailty [10]. In our teaching hospital, screening

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for frailty has been performed for years using the Groningen Frailty Indicator (GFI) at hospital admission [11].

There is lack of knowledge about the predictive value of the CFS and the GFI of targeting therapy and of adverse outcome(s) in hospitalized older adults with COVID-19 infection. Therefore we aimed to determine the prognostic properties of the CFS and GFI for prediction of mortality. Furthermore, we aimed to compare the prognostic power with other prognostic parameters including age, laboratory biomarkers, comorbidities and imaging findings. In addition, we wished to determine usefulness of clinical frailty scores in clinical decision making including instalment of Do-Not-Intubate (DNI) order and discharge to institutionalized care.

#### Methods

## Study sample

A single-centre retrospective observational study in patients who are 65 years and older, hospitalized because of COVID-19 in Zuyderland medical centre was conducted between 26 March 2020 until 26 April 2020.

Inclusion criteria were COVID-19 Polymerase Chain Reaction (PCR) positive or high clinical suspicion and a CFS at hospitalization. Diagnosis of COVID-19 infection was based on a combination of typical patient history, laboratory markers, desaturation findings, a positive PCR test and a CO-RADS score. CO-RADS score is based on the CT findings, the level of suspicion of COVID-19 infection is graded from very low or CO-RADS 1 up to very high or CO-RADS 5 [12]. In case of doubt and a negative PCR result, cases were discussed by the clinical team until agreement was reached for high or low suspicion.

A physician assistant, a research nurse and a resident of the geriatric department gathered patient data from the electronic medical hospital file and completed the research database, together with data from the ZuydErLand COVID-19 registry (ELVIS). Data included; age, gender, diagnosis at hospital admission, medical history and laboratory data and outcome data. The data collection process was supervised by two staff members of the geriatric department (WS and FP) who controlled the data independently.

This study complied with the guidelines set out in the Declaration of Helsinki and was approved by the Medical Ethics Committee Zuyd, the Netherlands (METCZ20200079).

#### Clinical frailty scale

CFS is generally accepted as a valid tool to determine and classify frailty [10]. It depicts the physical functional impairment and ranges from 1 (very fit) to 9 (terminally ill). On the day the patient was attended to the emergency department the nurses of the geriatric department gathered information by making calls with patients, their family and general practitioner and used all the information in the electronic patient file to complete a CFS score in electronic patient file.

In our study, the CFS was divided into two categories of patients; non-frail patients with a CFS score with a cut-off point of < 4 and frail patients with a CFS score  $\ge 4$  [10].

## **Groningen frailty indicator**

The Groningen Frailty Indicator (GFI) is a multi-domain frailty instrument, including physical, functional performance and cognition which ranges from 0 to 15: A score of 4 or higher indicates frailty [11]. The GFI is automatically generated due to the standard questions in the anamnesis and a checkbox in the electronic patient file by the attending hospital ward nurses. Subsequently, scores were divided into two categories: non-frail with a GFI score of 1-3 and frail with a GFI score of  $\geq 4$  [11].

## Comorbidity and COVID-19 disease severity

Charlson comorbidity index: CCI is a validated tool to predict 10-year survival in patients with multiple comorbidities and is used to categorize the comorbidities [13]. CCI was also used to categorize the comorbidities into pulmonary disease, cancer, diabetes mellitus, cognitive disorders and cardiovascular disease [13]. The CCI was assessed retrospectively by a resident of the geriatric department of the based on the information in the electronic medical file of the patient.

Chest CT severity score: Chest CT was a standard procedure upon emergency department admission for patients with suspected COVID-19 during the COVID-19 peak in our region. Chest CT was performed on either a 64-slice CT scanner (Philips Incisive) or on a 64-slice dual source scanner (Siemens Somatom Definition Flash).

The chest CT severity score was assessed to determine the extent of lung involvement. Chest CT scans were retrospectively evaluated by a radiologist (RK) who was blinded to all clinical information. The lungs were divided into 6 zones: The upper zones (above the carina), the middle zones (below the carina up to the inferior pulmonary vein), and the lower zones (below the inferior pulmonary vein) [14]. A semi-quantitative score was assigned to each lung zone: 0 (0% involvement), 1 (< 25% involvement), 2 (25-49% involvement), 3 (50-74% involvement), or 4 (> 75% involvement) [14]. The individual scores of all lung zones were added, which resulted in a total lung score ranging from 0 to 24 [14].

#### **Statistics**

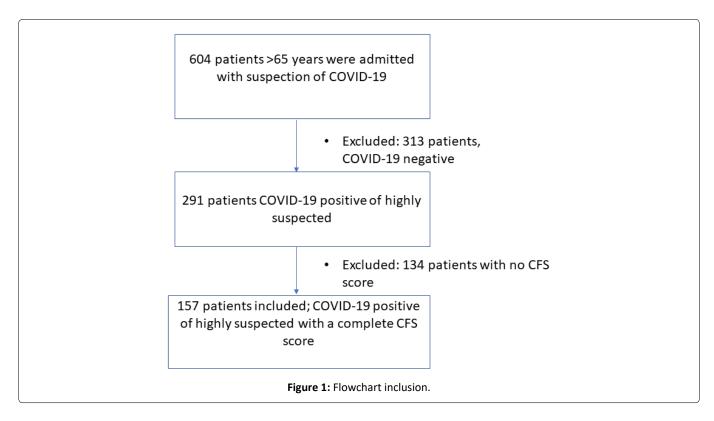
SPSS version 26 was used. Patients' characteristics were described by mean  $\pm$  SD and range for continuous variables and by frequencies and percentages for the categorical variables. Independent T-tests and Chi-square tests were used (normal distributed) to test the differences between groups.

Multivariate Logistic Regression was used to determine the impact of frailty, age and comorbidity (CCI score) upon mortality and discharge to an institution.

#### **Results**

### **Patient characteristics**

During the study period, 604 patients > 65 years were admitted to the hospital. After exclusion of patients without proven or highly suspected COVID-19 (n = 313) and without a completed frailty score (n = 134), 157 elderly patients with COVID-19 who were admitted to the hospital, were included



**Table 1:** Baseline patient characteristics of patients aged 65-y and older hospitalized with a COVID-19 infection (n = 157).

	Patients (%)
Age (years)	77 ± 7
Gender (male)	100 (64%)
COVID-19 PCR proven	124 (79%)
Charlson Comorbidity Index, points (mean + sd)	6.3 ± 2.6
Chronic Obstructive Pulmonary Disease	41 (26%)
Cardiovasculair disease	92 (59%)
Diabetes mellitus	38 (24%)
Cognitive disorders	24 (15%)
CT-severity score, points (mean + sd)	7.5 ± 6.2
C-reactive protein at admission (mg/l)	143 ± 111

in the study (Figure 1). A combination of both CFS and GFI was completed for 145 patients. Patients characteristics are presented in (Table 1). The mean age of the patients was 77  $\pm$  7y and 100 (64%) patients were male.

## Frailty scores and mortality

The mean CFS score was  $3.0 \pm 1.5$  in 157 patients and the mean GFI score was  $2.9 \pm 2.3$ . In-hospital mortality was 30% (n = 47). The mean CFS and GFI scores were not significantly different between survivors and non-survivors (Table 2). Also in a multiple logistic regression model using correction for age, CT-severity score, CCI and CRP, both frailty scores did not predict mortality (Table 3).

## Other predictors of mortality

Age was not different between survivors and non-sur-

Table 2: Patient characteristics differences in survivors versus non-survivors, in older hospitalized patients with COVID-19 infection (n = 157).

	Survivors mean ± sd n = 110	Non-survivors mean + sd n = 47	Significance (2-tailed)
Age, years	76 ± 7	78 ± 7	n.s
CFS, points	3.0 ± 1.4	3.1 ± 1.9	n.s
GFI, points	2.8 ± 2.4	3.2 ± 2.0	n.s
CCI, points	6.0 ± 2.7	6.8 ± 2.4	P = 0.072
CT-severity, points	6.5 ± 5.2	9.7 ± 7.5	P = 0.009
CRP, mg/l	127 ± 100	181 ± 127	P = 0.004

CFS: Clinical Frailty Scale; GFI: Groningen Frailty Indicator; CCI: Charlson Comorbidity Index; n.s: not significant

Table 3: Independent predictors of in-hospital mortality, in older hospitalized patients with COVID-19 infection.

	Odds ratio in-hospital mortality (95% Confidence interval)	Significance
Clinical Frailty Scale	1.28 (0.51-3.23)	n.s
Groningen Frailty Indicator	1.76 (0.67-4.59)	n.s
Age	1.01 (0.95-1.08)	n.s
Charlson Comorbidity index	1.27 (1.06-1.51)	P = 0.010
CT severity score	1.13 (1.05-1.22)	P = 0.001
C-reactive protein	1.01 (1.00-1.01)	P = 0.038

n.s: not significant

**Table 4:** Patient characteristics of discharge destination and the independent predictors of institutionalization of older hospitalized patients with COVID-19 infection.

	Institutionalized mean + sd n = 37	Home after hospitalisation mean + sd n = 79	Significance (2-tailed)	Odds ratio Institutionalization (95% Confidence interval)	Significance
CFS, points	3.4 ± 1.7	2.7 ± 1.2	P = 0.022	1.78 (0.65-4.90)	n.s
GFI, points	4.4 ± 3.0	2.1 ± 1.8	P < 0.001	3.76 (1.43-9.90)	P = 0.007
Age, years	81 ± 8	75 ± 6	P = 0.001	1.09 (1.02-1.16)	P = 0.017
CCI, points	7.2 ± 2.9	5.7 ± 2.6	P = 0.005	1.08 (0.90-1.31)	n.s
CT-severity, points	5.6 ± 5.8	7.2 ± 4.9	n.s	1.02 (0.93-1.12)	n.s

CFS: Clinical Frailty Scale; GFI: Groningen Frailty Indicator; CCI: Charlson Comorbidity Index; n.s: not significant

vivors (Table 2). In non-survivors, significantly increased CT severity scores and levels of CRP (p = 0.009 and p = 0.004, respectively) and a trend to significant CCI scores (p = 0.072) were found. Multiple regression analysis showed that CCI scores, CT severity scores and levels of CRP all were independent predictors of mortality in this patient group (Table 3). Of note, the CT-severity scores were significantly higher in the non-survivors who were not frail in comparison to frail non-survivors (mean  $11.5 \pm 7.2$  vs.  $6.3 \pm 7.1$ ; p = 0.02).

## Frailty and Do-Not-Intubate order

COVID-19 could lead to respiratory failure and the need of mechanical ventilation. Especially in frail older adults the harm often does not outweigh the benefits of mechanical ventilation. In order to validate the frailty scores in COVID-19 in the elderly, the scores were compared between patients who did or did not receive a Do-Not-Intubate (DNI) order by the treating clinician. A DNI was issued for 112 (71%) patients. Patients who were later categorized into being frail, had almost all received a DNI order: (CFS: 100% (47/47 patients) respectively GFI: 40/43). This was significantly different from patients who were categorized as non-frail (CFS: 65/110 respectively GFI: 64/102) (p < 0.001).

#### Factors predicting discharge destination

Fifty percent of all the patients were sent home after hospital admission. Patients discharged to an institution compared to those who discharged to home, were significant more frail (Table 4). Multiple regression analysis revealed

that the GFI and age independently predicted discharge to an institute (Table 4). CFS and CCI were not independent predictors of institutionalization.

#### **Discussion**

In this study in elderly patients admitted to the hospital with COVID-19, the CFS and GFI frailty scores failed to predict mortality. Also age did not influence survival. However, mortality was significantly predicted by other factors including increased levels of CRP, CT severity scores and the number of comorbidities expressed by CCI. Another important finding in our study is that in contrast to the negative results for prediction of mortality, the GFI appeared to be highly useful for predicting need for institutional revalidation after discharge, whereas this could not be predicted by CFS, levels of CRP, CT severity scores and CCI.

The negative findings in our study contradict previous literature in other diseases including pneumonia [15]. A possible explanation for the absence of this relation could be the absence of really frail older patients in our population. The absence of these patients in our hospital is probably the result of an agreement made between general practitioners, nursing homes and hospital not to refer very frail elderly patients, e.g. those who live in nursing homes for hospital admission. A recent Belgium study of 81 patients, 52% were long-term care residents, with a mean age of 85 and a median CFS of 7 points demonstrated that frailty was weakly associated with in-hospital mortality, however the population in this study was evidently more frail [16]. Another explanation may be

that previous studies [16,17] applied a cut-off value for frailty at  $\geq$  5, whereas in our study a cut-off of  $\geq$  4 was applied. However, this is in agreement with earlier research by Rockwood, et al., they pointed out that the prognoses clearly decline at  $\geq$  4 points on the CFS [10].

Otherwise it is possible that patients were overestimated in the clinical frailty score assessment and therefore were categorized as non-frail with a CFS score of 3 points instead of 4. Those 'overestimated' patients could minimalize the difference in in-hospital mortality between the frail and non-frail patients. Finally, the lack of prognostic power of the frailty scores in our study might be explained due to difference in disease severity of COVID-19 in the non-frail compared to frail older patients. The CT-severity score in the non-frail deceased patients was significant higher compared to the deceased frail patients (11.5  $\pm$  7.2 vs. 6.3  $\pm$  7.1, p = 0.02).

Frailty scores in our study were associated with clinical decisions regarding DNI orders. All the frail patients according the CFS and 93% according the GFI had a DNI order compared to the fit patients in respectively 65% and 63%. These results are supported by others. In a large population based cohort study in New Zealand with frail patients admitted to the ICU aged 80 years and older in a non-COVID-19 period had significantly poorer health outcomes than the non-frail patients [18].

Although CFS and GFI assess different domains of function, almost all patients classified as frail by both CFS or GFI had a DNI order. This could be due to the fact that age, comorbidities and the choice of the patient were also contributing factors in receiving a DNI order. A previous study of 502 patients with a mean age of 76 years underline that comorbidities are an important predictor of a DNI or ICU treatment agreement [19].

Therefore we suggest, based on our data and earlier research that a DNI order is an appropriate medical decision to prevent an unnecessary suffering with an expected bad outcome in the frail older patients with COVID-19 infection.

The mortality rate in our study is 29.9%, which is higher compared to previous findings. In a review of the available data of the previous COVID-19 studies so far, the mortality rate is about 13.9%-21% in hospitalized patients regardless of age [20,21]. A recent study of hospitalized older adults with COVID-19 showed a mortality rate of 24%, however there was no information about comorbidities in their population besides dementia [16]. Our higher mortality rate could be explained because our study population included only older patients with more comorbidities (CCI was 6.3 in our study versus 4.0 in a large cohort of 5700 patients with a mean age of 63-years-old) [21]. The presence of comorbidities was a predictor of adverse outcome in our study, these results were in line with previous findings [21,22].

Additionally, no information was available about complications, such as thrombo-embolic events, which could have elevated the mortality rate.

Interestingly, frailty scores in our study were related to a higher risk of not discharging home. These results are sup-

ported by previous findings [23,24]. Frail patients are especially at risk for functional decline with loss of mobility and increased care dependency with a high risk of going to an institution, like a nursing home or rehabilitation unit [25].

Additionally, only GFI is an independent predictor of not discharging home, in contrary to the CFS. This difference could be explained because the GFI assesses multiple domains of frailty including cognition and mood [11] whereas CFS mainly asses frailty of the functional domain [10]. Both screening tools can be assessed in a short period of time and are easy to perform.

A limitation could be the missing of some patients because of lacking the CFS score. This was because the enormous amount of patients came to our hospital and there weren't all the resources available to determine the CFS in all our patients. Twelve patients were missed in the GFI screening. These patients were admitted directly to ICU or were hospitalized with an immediately installed palliative care due to severe illness. Those patients could have an elevated GFI score, so the mean GFI could have been higher in our study.

Concluding, our study demonstrated no predictive value of the frailty scores CFS and GFI for prediction of mortality in elderly patients with COVID-19. Because there are many possible explanations, larger multicentre studies can possible give the answer on this issue. Despite this outcome, the frailty scores appeared to be associated with clinical decision-making including instalment of DNI orders and discharge to institutionalized care. However only the GFI was an independent predictor of discharge to an institution. As such, clinical frailty scores in our study showed promise as a tool for decision making in COVID-19, but probably not for prediction of mortality.

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